



**National Joint Programme (NJP)
Submission Form to the UN-REDD Programme Policy Board**

1. Policy Board Submission

Policy Board Meeting <input checked="" type="checkbox"/> No. 1	Inter-sessional Decision <input type="checkbox"/>
Date of Meeting: 9-10 March 2009	Date of Inter-sessional Decision:

2. National Joint Programme Summary

<i>Details of National Joint Programme</i>	
Country	Papua New Guinea
Programme ¹ Title	UN-REDD Programme – PNG Quick Start Initiative
Implementing Partner(s) ²	Office of Climate Change and Environment Sustainability PNG Forest Authority
<i>Details of Participating UN Organizations' Representatives</i>	
UN Resident Coordinator: Name: Jacqui Badcock	Contact details: Telephone: +675 321 2877 Email: jacqui.badcock@undp.org
FAO: Name: Title:	Contact details: Telephone: Email:
UNDP: Name: Jan-Jilles van der Hoeven Title: Country Director	Contact details: Telephone: Email: jan-jilles.vanderhoeven@undp.org
<i>Type of National Joint Programme</i>	
<p align="center">Full NJP:</p> <input type="checkbox"/> New Full NJP <input type="checkbox"/> Continuation from an Initial NJP <input type="checkbox"/> Other (explain)	<p align="center">Initial NJP</p> <input checked="" type="checkbox"/> New Initial NJP <input type="checkbox"/> Continuation from previous funding <input type="checkbox"/> Other (explain)

¹ The term "programme" is used for projects, programmes and joint programmes.
² Refers to National counterparts. List the lead entity first.

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3. Executive Summary

This UN-REDD National Joint Programme (NJP) aims at initiating the 'Quick Start' phase of readiness support for Reducing Emissions from Deforestation and forest Degradation (REDD) in Papua New Guinea (PNG). The NJP is in-line with the Framework Document of the UN-REDD Programme and is presented to the UN-REDD Policy Board to request an initial budget allocation of US\$2.585m, in-line with the draft UN-REDD Operational Guidelines for funding of "initial" NJPs.

The main objectives of this initial NJP are:

- To assist PNG prepare a draft National REDD Plan for consideration of Cabinet by 31 October 2009. This is in-line with National Executive Council (NEC) decision of 11 February 2009.
- To develop a full NJP that shall be re-submitted to the UN-REDD Policy Board for funding to undertake agreed Readiness components of the National REDD Plan

The emphasis is on three immediate needs identified in the REDD Readiness Roadmap that was developed by the GoPNG and its Development Partners:

- Institutional capacity support to the Office of Climate Change and Environmental Sustainability (OCCES)
- Assessment of information needs for key building blocks of REDD (i.e. MARV, opportunity cost calculations and benefit sharing mechanisms)
- Establishing stakeholder engagement processes and building awareness

The initial NJP has 5 components:

- Readiness Management Arrangements in Place
- Arrangements for Establishing a Reference Emission Level (REL) in Place
- Framework for Forest Carbon Monitoring and Reporting Developed
- REDD Costs and Fiscal Transfers
- Stakeholders Engaged in PNG's REDD Readiness Process

The initial NJP will immediately engage the following in-country positions:

- REDD Chief Technical Advisor
- Multi-Stakeholder Engagement Advisor
- Economic Analyst
- Fiscal Transfer Expert

The team will be based in the OCCES and shall coordinate national REDD activities, ensure whole-of-government responses, and integrate REDD into national development planning processes. UNDP, as the lead REDD international agency in PNG will coordinate the joint programme under the guidance of the UN resident Coordinator.

4. Budget Allocation (UN-REDD Fund Source only)				
Outcomes	FAO (\$)	UNDP (\$)	UNEP (\$)	Total (\$)
1. Readiness Management Arrangements in Place		525,920		525,920
2. Arrangements for Establishing a Reference Emission Level (REL) in Place	450,000			450,000
3. Framework for Forest Carbon Monitoring and Reporting Developed	500,000			500,000
4. REDD Costs and Fiscal Transfer Options Assessed		600,000		600,000
5. Stakeholders Engaged in PNG's REDD Readiness Process		340,000		340,000
Sub-Total	950,000	1,465,920		2,415,920
Indirect Support Costs	66,500	102,614	-	169,114
Grand Total (\$)	1,016,500	1,568,534	-	2,585,034

NOTE: A breakdown of the budget allocations using the UNDG "harmonized input budget categories" must be provided to the UN-REDD Secretariat (for onward transmission to the Administrative Agent) with the signed NJP document. Please see Annex 1

5. Secretariat Review		
<i>Submission Criteria</i>		
(a)	Is the NJP consistent with the UN-REDD Programme Framework Document?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(b)	Has the UN Resident Coordinator been involved in submitting the NJP?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(c)	Is documentation of the in-country validation meeting(s) included?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(d)	Did the validation include the national government counterpart (or designate)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(e)	Did the validation include civil society/Indigenous Peoples representation as per the UN-REDD Operational Guidance ³ ?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>

³ In this context the representative(s) will be determined in one of the following ways:

i. Self-determined representative(s) meeting the following requirements:

- Selected through a participatory, consultative process
- Having national coverage or networks
- Previous experience working with the Government and UN system
- Demonstrated experience serving as a representative, receiving input from, consulting with, and providing feedback to, a wide scope of civil society/Indigenous Peoples organizations

ii. Representative(s) who participated in a UN-REDD Programme scoping and/or formulation mission and sit(s) on a UN-REDD Programme consultative body established as a result of the mission

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5. Secretariat Review		
(f)	Does the NJP comply with the required format (<i>incl., cover page, results framework, etc.</i>)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(g)	Does the NJP comply with UN-REDD Rules of Procedure and relevant Operational Guidance?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Unclear <input type="checkbox"/>
(h)	Is the required budget allocation provided (see section 3 above)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(i)	Are the Indirect Support Costs within the approved rate?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(j)	Is the Programme Summary completed? (<i>for posting on website</i>)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
(k)	Is the Progress Report included? (<i>for supplementary funding only</i>)	Yes <input type="checkbox"/> No <input type="checkbox"/> Unclear <input type="checkbox"/>
<p>If the answer was 'No' or 'Unclear' to any question, please explain here:</p> <p>(e) There is no information to confirm that the process for inviting participants to the validation meeting met the Operational Guidance. On the other hand, several civil society groups participated in the meeting, including a number of key groups who met with the scoping mission. In summary, there is a reasonable expectation that civil society groups are aware of the submission.</p> <p>(g) The NJP was not received in time by the Secretariat to distribute to the Policy Board participants at least 5 working days in advance. However, it complies with the other requirements. Therefore the Secretariat considers this issue would not be sufficient to disqualify the NJP from consideration.</p>		

5. Secretariat Review	
<i>Review Issues</i>	
(a)	Ownership of the NJP by government and non-government stakeholders
<p>Sufficient. Highest level ownership of the need to move quickly with readiness – as demonstrated by the 11 February 2009 National Executive Council (NEC) decision and its recognition of the UN role. The NEC decision includes the establishment of a National Climate Change Advisory Board, including members from the forestry industry, landowners, and environmental NGOs</p> <p>Lack of clarity concerning the OCCES process for carbon trading registration has been raised. However the validation meeting seems to have demonstrated support for the NJP.</p>	

iii. Individual(s) recognized as legitimate representative(s) of a national network of civil society and/or Indigenous Peoples organizations (e.g. the GEF Small Grants National Steering Committee or National Forest Programme Steering Committee)

5. Secretariat Review

Review Issues

(b) Level of consultation, participation and engagement

Levels of consultation appear to be increasing, albeit off a low base. The OCCES has started to organize consultative meetings in the past few weeks with civil society organisations. Further regional awareness workshops are planned, with the first for the Highlands Region planned for April 2009 in Goroka.

It is very appropriate that the establishment of stakeholder engagement processes and strengthened communication and awareness are part of the immediate objective of the initial NJP. This will allow improved consultation, participation and engagement in the development of the "full" NJP.

(c) Programme effectiveness, coherence with country strategies and other relevant initiatives, and cost-efficiency

The timing of the initial NJP with the NEC decision augers well for the effectiveness and strong coherence of the programme with country strategies.

A strong effort of coordinating with other initiatives has been made during the formulation of the NJP, especially with Australia's REDD initiative. This is reflected in the Integrated Initial Support Package.

Further coherence will be needed to frame REDD within the context of a National Climate Change Plan.

(d) Management of risks and likelihood of success

Risks are assessed on p.15 of the NJP. Furthermore, the document states that a Risk Log will be prepared at the time of finalizing the NJP document for signature. It will be important for the UN-REDD Secretariat to receive and monitor the Risk Log.

Developing an initial NJP focusing on capacity development and the strengthening of relationships is an appropriate risk management strategy.

While there are a raft of risks that may impact the success of the initial NJP, with current strong political support, continued coordination of the development partners, increased involvement of stakeholders and a step-wise approach to the development of the NJP, prospects of success will remain.

Other points:

The Secretariat highlights the note on p.2 of the NJP. The proposal to agree technical steps and roles with the development partners before undertaking Outcomes 2 and 3 is supported. Reaching agreement on the design of PNG's national C-accounting system is a critical issue that needs to be addressed during the initial NJP. As an immediate first step, a technical mission should further clarify the Roadmap interventions and roles of partners.

The proposed NJP raises the issue of the absorptive capacity of the implementing partners and FAO & UNDP in PNG. The Secretariat supports the strengthening of the UN local team's capacity to support the management of the NJP.

6. Independent Technical Review

(a) Was an independent technical review undertaken?

Yes No

If not, why not?

This is an initial NJP. Nevertheless, the Secretariat benefited from the participation of an independent reviewer in the scoping mission and review of mission reports.

Synthesis of Independent Technical Review

n/a

7. Secretariat Response

- Provide comments and request re-submission to a future Policy Board meeting
- Provide comments to be addressed before forwarding to the next immediate Policy Board meeting
- Forward to the Policy Board (with comments if necessary) with a recommendation to fund specific portions or phases (including an inception phase) of the NJP
- Forward to the Policy Board (with comments if necessary) with a recommendation to fund the NJP.

7. Secretariat Response

Explanation of Response:

No significant review issues have been identified. The submission criteria have generally been met.

Engagement with the development partners and other stakeholders on MARV – as set out in the note on p.2 of the NJP – is a critical first step.

8. Decision of the UN-REDD Policy Board

Decision of the UN-REDD Programme Policy Board:

- Full NJP approved, as per budget allocation in Section 4
- Initial NJP approved, as per budget allocation in Section 4
- Approved with a revised budget of \$
- Approved with modification/condition
- Deferred/returned with comments for further consideration

Comments:

The Policy Board endorses the note on p.2 of the draft NJP document.

PNG informed the Policy Board that the NJP will be subject to formal consultation with its development partners (through the arrangements set out in Annex 1 of the draft NJP) before it will be signed.

Should there be a change in the overall budget allocation, or aggregate allocations to the Participating UN Organizations, the revised initial NJP must be re-approved by the Policy Board.

8. Decision of the UN-REDD Policy Board

Angela Cropper
Deputy Executive Director, UNEP
Co-Chair, UN-REDD Programme Policy Board



Signature

11 March 2009

Eduardo Reyes
Sub-Administrator General,
National Environmental Authority, Government of Panama
Co-Chair, UN-REDD Programme Policy Board



Signature

11 March 2009

9. Administrative Agent Review

Action taken by the Administrative Agent: Multi-Donor Trust Fund Office, Bureau of Management, UNDP

Programme consistent with provisions of the UN-REDD Programme MDTF Memorandum of Understanding and Standard Administrative Arrangements with donors.

Administrative Agent:
Bisrat Aklilu, Executive Coordinator, Multi-Donor Trust Fund Office

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Signature

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Date